

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO

UNITED STATES OF AMERICA,

Plaintiff

v.

CRIMINAL 08-0175 (ADC)

[6] LUIS A. TORRES-RUIZ AKA "Pega
3,"

Defendant

MAGISTRATE JUDGE'S REPORT AND RECOMMENDATION
RE: RULE 11(c)(1)(B) PROCEEDINGS (PLEA OF GUILTY)

I. Personal Background

On March 7, 2008, Luis A. Torres-Ruiz, the defendant herein, was charged in counts 1, 2, 3, 4, and 5 of a seven-count indictment. Count 7 is a forfeiture count. He agrees to plead guilty to count one.

Count one charges defendant of knowingly and intentionally, combine, conspire, and agree with each other and with diverse other persons known and unknown to the Grand Jury, to commit an offense against the United States, that is, to knowingly and intentionally possess with intent to distribute and/or to distribute controlled substances, to wit: in excess of one kilogram of heroin, a Schedule I Narcotic Controlled Substance; and/or in excess of 50 grams of cocaine base, a Schedule II Narcotic Drug Controlled Substance; and/or in excess of five kilograms of cocaine, a Schedule II, Narcotic Drug Controlled Substance; and/or in excess of 100 kilograms of marijuana, a Schedule I, Controlled Substance; within 1,000 feet of the real property comprising a public or private school, as prohibited by 21 U.S.C. §§ 841(a)(1) and 860. All in violation of 21 U.S.C. § 846.

CRIMINAL 08-0175 (ADC)

2

Defendant filed a motion for change of plea on September 2, 2008. (Docket No. 178.)

II. Consent to Proceed Before a Magistrate Judge

On September 24, while assisted by Vladimir Mihailovich, Esq., the defendant, by consent, appeared before me in order to change his previous not guilty plea to a plea of guilty as to count one of the indictment.

In open court the defendant was questioned as to the purpose of the hearing being held. The defendant responded that the purpose of the hearing was to plead guilty. The defendant was advised of his right to have all proceedings, including the change of plea hearing, before a United States district judge. Defendant was given notice of: (a) the nature and purpose of the hearing; (b) the fact that all inquiries were to be conducted under oath and that it was expected that his answers would be truthful (he was also explained that the consequences of lying under oath could lead to a perjury charge); and (c) his right to have the change of plea proceedings presided over by a district judge instead of a magistrate judge. The defendant was also explained the differences between the appointment and functions of the two. The defendant consent to proceed before this magistrate judge.

III. Proceedings Under Rule 11, Federal Rules of Criminal Procedure

A. Compliance With Requirements Rule 11(c)(1)

Rule 11 of the Federal Rules of Criminal Procedure governs the acceptance of guilty pleas to federal criminal violations. Pursuant to Rule 11, in order for a plea of guilty to constitute a valid waiver of the defendant's right to trial, guilty pleas must be knowing and voluntary: "Rule 11 was intended to ensure that a defendant who pleads guilty does so with an 'understanding of the nature of the charge and consequences of his plea.'" United States v. Cotal-Crespo, 47 F.3d 1, 4 (1st Cir. 1995) (quoting McCarthy v. United States, 394 U.S. 459, 467 (1969)). [There are three core concerns in these proceedings]: 1) absence of coercion; 2) understanding of the charges; and 3) knowledge of the consequences of the guilty plea. United States v. Cotal-Crespo, 47

CRIMINAL 08-0175 (ADC)

3

F.3d at 4 (citing United States v. Allard, 926 F.2d 1237, 1244-45 (1st Cir. 1991)).

United States v. Hernández-Wilson, 186 F.3d 1, 5 (1st Cir. 1999).

In response to further questioning, defendant was explained and he understood that if convicted on count one, he may be sentenced to a term of imprisonment of not less than 10 years or no more than life, a fine not to exceed of \$4,000,000, and a term of supervised release of at least five years. In accordance with 21 U.S.C. § 860, the maximum penalties would be twice that of section 841, and at least twice the term of supervised release authorized by section 841.

However, based on the stipulated and agreed amount of narcotics possessed by the defendant, that is, at least 35 grams but less than 50 grams of cocaine base, the penalty for the offense shall be, a term of imprisonment of not less than five years and not more than 40 years, a fine not to exceed \$2,000,000, and a term of supervised release of at least four years, all pursuant to 21 U.S.C. § 841(b)(1)(B). However, in accordance with 21 U.S.C. § 860, the maximum penalties would be twice that of section 841(b)(1)(B), and at least twice the term of supervised release authorized by section 841(b)(1)(B).

Prior to defendant's change of plea hearing, the defendant shall pay a special monetary assessment of \$100, per count of conviction.

The defendant is aware that the court may order him to pay a fine sufficient to reimburse the government for the costs of any imprisonment, probation or supervised release, and may impose restitution. As part of this plea agreement, the defendant agrees to produce complete information regarding all restitution victims and defendant agrees to provide a financial statement as requested to the United States.

1 CRIMINAL 08-0175 (ADC)

4

2
3 Defendant was advised that the ultimate sentence was a matter solely for the
4 court to decide in its discretion and that, even if the maximum imprisonment term
5 and fine were to be imposed upon him, he later could not withdraw his guilty plea
6 if he was unhappy with the sentence of the court. The defendant understood this.

7 Defendant was explained what the supervised release term means. It was
8 emphasized that cooperation with the United States Probation officer would assist
9 the court in reaching a fair sentence.

10 Emphasis was made on the fact that at this stage, no prediction or promises
11 as to the sentence to be imposed could be made by anyone. Defendant responded to
12 questions in that no promises, threats, inducements or predictions as to what
13 sentence will be imposed have been made to him.

14 B. Admonishment of Constitutional Rights

15 To assure defendant's understanding and awareness of his rights, defendant
16 was advised of his right:

17 1. To remain silent at trial and be presumed innocent, since it is the
18 government who has the burden of proving his guilt beyond a reasonable doubt.

19 2. To testify or not to testify at trial, and that no comment could be made by
20 the prosecution in relation to his decision not to testify.

21 3. To a speedy trial before a district judge and a jury, at which he would be
22 entitled to see and cross examine the government witnesses, present evidence on his
23 behalf, and challenge the government's evidence.

24 4. To have a unanimous verdict rendered by a jury of twelve persons which
25 would have to be convinced of defendant's guilt beyond a reasonable doubt by means
26 of competent evidence.

27

28

CRIMINAL 08-0175 (ADC)

5

5. To use the subpoena power of the court to compel the attendance of witnesses.

Upon listening to the defendant's responses, observing his demeanor and his speaking with his attorney, that to the best of counsel's belief defendant had fully understood his rights, it is determined that defendant is aware of his constitutional rights.

C. Consequences of Pleading Guilty

Upon advising defendant of his constitutional rights, he was further advised of the consequences of pleading guilty. Specifically, defendant was advised that by pleading guilty and upon having his guilty plea accepted by the court, he will be giving up the above rights and would be convicted solely on his statement that he is guilty.

Furthermore, the defendant was admonished of the fact that by pleading guilty he would not be allowed later on to withdraw his plea because he eventually might disagree with the sentence imposed, and that when he were under supervised release, and upon violating the conditions of such release, that privilege could be revoked and he could be required to serve an additional term of imprisonment. He was also explained that parole has been abolished.

D. Plea Agreement

The parties have entered into a written plea agreement that, upon being signed by the government, defense attorney and defendant, was filed and made part of the record. Defendant was clearly warned and recognized having understood that:

1. The plea agreement is not binding upon the sentencing court.
2. The plea agreement is an "agreement" between the defendant, defense attorney and the attorney for the government which is presented as a

1 CRIMINAL 08-0175 (ADC)

6

2
3 recommendation to the court in regards to the applicable sentencing adjustments
4 and guidelines, which are advisory.

5 3. The agreement provides a sentencing recommendation and/or anticipated
6 sentencing guideline computation, that can be either accepted or rejected by the
7 sentencing court.

8 4. In spite of the plea agreement and any sentencing recommendation
9 contained therein, the sentencing court retains full discretion to reject such plea
10 agreement and impose any sentence up to the possible maximum penalty prescribed
11 by statute.

12 5. The defendant understands that if the court accepts this agreement and
13 sentences defendant according to its terms and conditions, defendant waives and
14 surrenders his right to appeal the conviction and sentence in this case.

15 Defendant acknowledged having understood this explanation.

16 E. Government's Evidence (Basis in Fact)

17 The government presented a proffer of its evidence with which the defendant
18 basically concurred.

19 Accordingly, it is determined that there is a basis in fact and evidence to
20 establish all elements of the offense charged.

21 F. Voluntariness

22 The defendant accepted that no leniency had been promised, no threats had
23 been made to induce him to plead guilty and that he did not feel pressured to plead
24 guilty. He came to the hearing for the purpose of pleading guilty and listened
25 attentively as the prosecutor outlined the facts which it would prove if the case had
26 proceeded to trial.

27
28

CRIMINAL 08-0175 (ADC)

7

IV. Conclusion

The defendant, by consent, has appeared before me pursuant to Rule 11, Federal Rules of Criminal Procedure, and has entered a plea of guilty as to count one of the indictment.

After cautioning and examining the defendant under oath and in open court, concerning each of the subject matters mentioned in Rule 11, as described in the preceding sections, I find that the defendant Luis A. Torres-Ruiz is competent to enter this guilty plea, is aware of the nature of the offense charged and the maximum statutory penalties that the same carries, understands that the charge is supported by the government's evidence, has admitted to every element of the offense charged, and has done so in an intelligent and voluntary manner with full knowledge of the consequences of his guilty plea.

Therefore, I recommend that the court accept the guilty plea of the defendant and that the defendant be adjudged guilty as to count one of the indictment. At sentencing, the United States shall request the dismissal of the remaining counts of the indictment.

This report and recommendation is filed pursuant to 28 U.S.C. § 636(b)(1)(B) and Rule 72(d) of the Local Rules of Court. Any objections to the same must be specific and must be filed with the Clerk of Court within five (5) days of its receipt. Rule 510.1, Local Rules of Court; Fed. R. Civ. P. 72(b). Failure to timely file specific objections to the report and recommendation is a waiver of the right to review by the district court. United States v. Valencia-Copete, 792 F.2d 4 (1st Cir. 1986).

At San Juan, Puerto Rico, this 2d day of October, 2008.

S/ JUSTO ARENAS
Chief United States Magistrate Judge